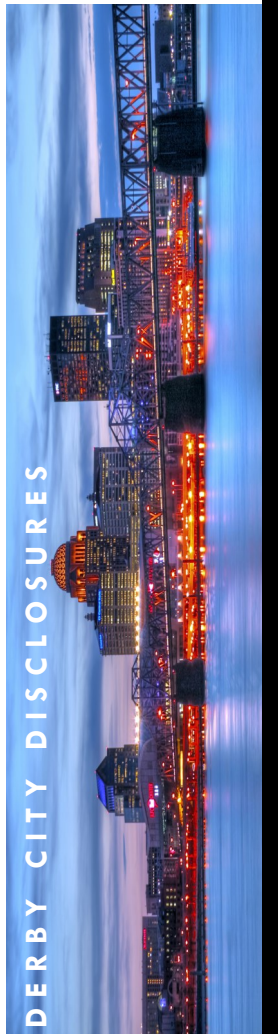


Louisville IIA
Chapter News

Message From The President



Dear IIA Louisville Chapter Members,

AS we step into November, I want to extend my gratitude to all of you for your continued commitment to our chapter and the internal audit profession. Your engagement — whether through attending meetings, volunteering, or simply staying connected — continues to make our chapter a source of strength, knowledge, and collaboration.

I am currently filling in for Myhanh Pham, Chapter President while they are on medical leave, and I know I speak for the entire board in wishing them a smooth and speedy recovery. In the meantime, I am honored to help continue the important work our leadership team has set in motion this year.

Our October meeting on “*What is AI and How Should Companies Deploy It?*” was both insightful and energizing. The discussion around artificial intelligence and its impact on audit practices highlighted just how rapidly our field is evolving —

and the need for continued learning and adaptation. A big shout out to Zack Estes, Chapter Secretary, who worked with IUS to get both attendance and involvement from several of their students. Thank you to everyone who participated and helped make it a success!

Looking ahead, I’m excited to share that our November event will feature “*Agile De-Mystified: Techniques Internal Audit Can Start Using Tomorrow to Elevate Business Impact.*” This session will provide practical insights into how internal auditors can apply agile principles to drive efficiency, responsiveness, and value in their organizations. Whether you’re new to agile concepts or already exploring them in your work, this presentation will offer actionable tools you can put into practice right away as well as common pitfalls to avoid during implementation.

As the year begins to wind down, I also want to take a moment to acknowledge our volunteers and committee members. Your behind-the-scenes efforts — from planning events to supporting commu-

nications and membership outreach — are what make our chapter thrive. We currently have three committee positions open and will have two Board of Governors seats open for the upcoming chapter year. If you’ve ever considered getting more involved, now is a great time to reach out and see where your talents can make an impact.

Thank you again for your dedication, professionalism, and support. I look forward to connecting with you at our November meeting and continuing to build momentum into the new year.

Warm regards,

K. Robert Stutsman
Vice President (Acting Chapter President)
IIA Louisville Chapter

NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2025 through May 2026. If you have a request for a submission please email the information to sthomas@republicbank.com and your submission will be included in the next month’s issue

November Monthly Meeting—Virtual ONLY**Date: Tuesday, November 12, 2025**

Please register for this session by Noon on November 11th to secure your spot.

Meeting Time: 12pm—1:30pm (All IIA Louisville Chapter Events are held on Eastern Time.)**CPE Credits:** 1.5 hours

Cost:	IIA Member Virtual:	\$15
	Non-Member Virtual:	\$20
	Student Virtual:	Free

Location: Virtual — Register below to receive call-in details**Registration:** [Here](#)**Speaker:** Burke Willis**Organization:** Agile Internal Audit LLC**Session Title:** Agile de-mystified: Techniques Internal Audit can start using tomorrow to elevate business impact

Session Description: You've probably heard of Agile, but what is it, actually? It's an essential mindset and set of methods that equip Internal Auditors to protect their enterprise in an environment of rapidly emerging new technologies and new threats. This session will clarify fundamental Agile concepts, dispel common misconceptions, and give participants several proven techniques they can begin using immediately to begin delivering impactful audits with greater business value.

Learning Objectives: After participating in this session, attendees should be able to:

- Explain the four core values of the Agile mindset and use them to guide decisions about how their Internal Audit function could deliver greater business value.
- Relate Agile concepts to delivering strategic value through Internal Audit
- Formulate answers to the three core questions in a project canvas, a tool designed to foster greater focus on business value
- Implements elements of core Agile concepts, including servant leadership and planning work around self-organizing teams
- Identify symptoms of “bad Agile” and how to avoid it.

Speaker Bio: Burke has been helping Internal Audit functions deliver greater strategic value for the past seven years via adoption of Agile methods and mindset. His unique mix of business experience, Agile proficiency, and practical application of Agile to Internal Audit is unmatched. Over his 30+ year career, he's worked in business and IT consulting, corporate IT management, strategic initiatives, and investor relations.

IIA Academic Relations

IIA Academic Relations

Student Memberships

FREE IIA Student Memberships—Easier Enrollment

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Zack Estes at zestes@republicbank.com for assistance with enrollment.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to [Zack Estes](#) to discuss opportunities.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to [Zack Estes](#). We can help spread the word further about your internship by reaching out to faculty at the local universities.



LOUISVILLE IIA INSTAGRAM PAGE

Let's start sharing! We have an Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to [Sean Byrnes](#) so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

Certification News

Certification Recognition

Joseph Gause obtained Certification in Risk Management Assurance

Annual Certification Renewal

The annual certification renewal period will begin soon. Don't forget to earn CPEs before the end of the year and renew your IIA certification(s)!

Annual Certification Renewal opens 1 October each year. Log in to the [Certification Candidate Management System \(CCMS\)](#) to begin your renewal.

The renewal deadline is 31 December each year. Should you fail to renew your Active certification, it will enter a Grace status, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining in Grace for 2 years will enter a Revoked status and must submit a recertification application and take the corresponding exam if they wish to become certified again.

As an IIA certified or qualified professional, you are responsible for ensuring that your certification is renewed annually. Get more information on [certification renewal steps](#) for Active and Grace status certification holders.

The IIA Charlotte Chapter's Certified Internal Auditor Review Sessions - Fall 2025! – Virtual Sessions

Registration for The IIA Charlotte Chapter's Certified Internal Auditor Review Sessions - Fall 2025 is now open. Click here to register: [Register](#)

CIA Review Sessions (8 CPE's per day of review): The course corresponds to the three parts of the Certified Internal Auditor examination administered by the IIA. Even if you are not registered for the exam you may find this a good overview of Internal Auditing, or a chance to learn what the exam is all about.

Course Overview: CIA Exam 2025 Content Specifications

The content specification under the old exam have been eliminated and change to cover the Internal Audit Plan as specified in the new Standards. The content and the percentage of questions are listed below. All the questions on the exam are multiple-choice.

Certification News Cont.

Certification Recognition:



Roy Holden and Alexander Lee obtained the Certified Internal Auditor designation.

Internal Audit Practitioner: Affordable, accessible, achievable

The Internal Audit Practitioner™ (IAP™) is designed for students and entry-level professionals and is a cost-effective way to validate your skills and gain credibility.

- Demonstrates command of globally recognized Standards
- Earn your permanent designation — add 'IAP' to your name
- No bachelor's degree required — accessible to all
- Skip the Part 1 exam when pursuing your CIA

Already passed CIA Part 1? [Apply for your IAP](#)

Understanding the New Internal Audit Practitioner (IAP) Program

Join The IIA and Becker for an informative session on the enhanced Internal Audit Practitioner (IAP) program. Learn about the new structure, its benefits, and how it provides a direct pathway to the CIA certification. What You'll Learn:

Key updates to the IAP program
How the IAP creates a seamless path to CIA
Benefits for students and early-career professionals
Preparation tips and available resources

Register for free [Here](#)

New Members & Anniversaries

Member Anniversary

10 Years Marcy Rouse

25 Years Patrick Whitmer

IIA Advocacy News

The IIA's Advocacy Team has monitored growing congressional interest in making potential changes to the landmark Sarbanes-Oxley (SOX) Act. Two recent developments, over the past six months, have placed this intent in sharper focus:

- ✦ A proposal, originally included in budget reconciliation legislation, to eliminate the Public Company Accounting Oversight Board and consolidate its functions into the Securities and Exchange Commission – the proposal ultimately failed to pass.
- ✦ The U.S. House Committee on Financial Services held a hearing on concerns about the costs of SOX compliance, signaling the start of a broader review of the law.

Because internal audit has a unique role in relation to SOX, The IIA wants to be prepared to provide specific recommendations to Congress, should lawmakers move forward on legislation at any point in the near future. Therefore, we recently established a SOX Working Group comprised of diverse representatives of the profession who review and discuss the law and will make recommendations to The IIA.

We also would like your help and input! If you have thoughts on SOX, please click [here](#) to share your views on how the role of internal audit could be strengthened and clarified in the law.

The comment period is open until **Friday, November 14th at 5:00 PM.**

We ask you please also share this request with your chapter members so their voices are included. Together, we can ensure that the value of internal audit is fully represented in any future potential reforms.

Should you have any questions regarding this request, please contact me directly at Michael.Downing@TheIIA.org.

CAE Roundtable

**"Calling all Auditors—
Audit Roundtables"**

The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings.

If you are interested, please contact Shawn Stevison at shawnstevison@yahoo.com.

Volunteer Opportunities

The IIA Louisville Chapter is looking for volunteers for a few open committee positions. Positions currently open are:

- Advocacy Chair & Diversity Chair
- Government Relations Chair
- QAR Chair
- Seminar Chair

For more information please reach out to [Robert Stutsman](#), IIA Louisville Chapter President (Acting)

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

Career Opportunities

Contract Audit Role: Contact Andrea Higgins at andrea.higgins@roberthalf.com for more information about the role.

Upcoming Other IIA Chapter Events

December Member Appreciation CPE Event - Save the Date!

- **Topic:** The State of Internal Audit with SOX Responsibilities: 2025 Benchmarking Insights

- **Time/Date:** December 10, 2025; 3:00 - 4:00 PM (ET)

- **Description:** This session presents findings from The State of Internal Audit with SOX Responsibilities benchmarking study, which analyzes 125 data points across Internal Audit, SOX compliance, and Connected Risk activities. Drawing on responses from a broad range of industries and team sizes, the report identifies five key themes that distinguish high-performing Internal Audit functions from their peers. Participants will gain data-driven insights into how teams are structuring their time and resources between audit and SOX, trends in SOX deficiencies, and how Internal Audit is progressing in its maturity toward Connected Risk. The discussion will translate benchmarking results into practical strategies that participants can apply to strengthen their own team's performance and influence.

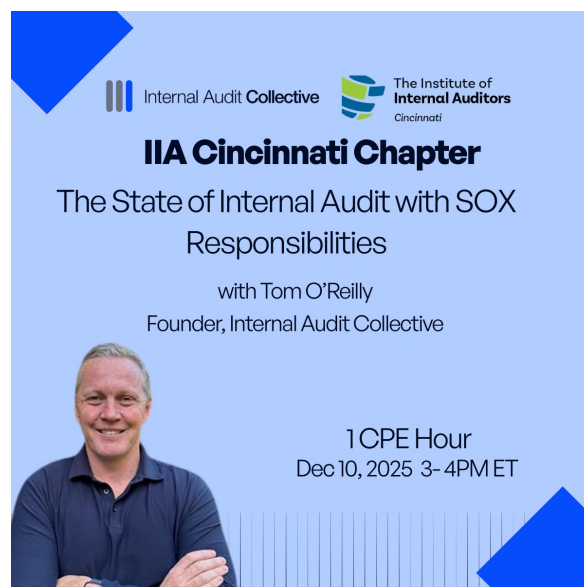
- **Presenter:** Tom O'Reilly is the Founder of the Internal Audit Collective, the #1 online, private community and training company for Internal Audit and SOX compliance professionals. Drawing on nearly two decades of audit leadership and industry experience, Tom has built the Internal Audit Collective into a trusted hub where practitioners and leaders can ask questions, provide answers and network with a community of like-minded peers around the globe. Before launching the Internal Audit Collective, Tom was a 1st 30 employee at AuditBoard, where over 7.5 years he met with more than 1,000 internal audit and SOX teams. In that role, he helped leaders refine their strategies, improve processes, and adopt technology to uplevel team performance. Prior to AuditBoard, Tom served as Chief Audit Executive at Analog Devices, a Fortune 500 semiconductor company, where he led the execution of a risk-based audit plan, oversaw SOX 404 compliance, and facilitated the company's enterprise risk management program. Through the Collective, Tom continues to connect thousands of auditors worldwide with the tools, insights, and community they need to lead in a rapidly evolving risk environment. He is a frequent speaker, facilitator, and connector of people and ideas - always focused on enabling positive change in the audit profession.

- **CPE:** 1 hour

- **Cost:** Member: FREE; Non-member: \$10

- **Event Format:** Virtual

Registration Link Coming Soon!



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PRESIDENT

[Myhanh Pham](#)

Synchrony

VICE PRESIDENT

[Robert Stutsman](#)Credit First National Association
(CFNA)

TREASURER

[London Lopez](#)

Humana, Inc.

SECRETARY

[Zack Estes](#)

Republic Bank & Trust Company

PROGRAM CHAIR

[Lesley Clark](#)

Federal Reserve Bank of St.Louis

[Joseph Gause](#)

GM Financial

MEMBERSHIP CHAIR

[Catie Knable](#)

Caesars Entertainment

ACADEMIC RELATIONS

[Zack Estes](#)

Republic Bank & Trust Company

[Roy Holden](#)

Kentucky Lottery

ADVOCACY/DIVERSITY

[Open](#)

AUDIT CHAIR

[Brittany Myers](#)

Republic Bank & Trust Company

CERTIFICATIONS CHAIR

[Bob Aemmer](#)

LG&E and KU Energy

CHIEF AUDIT EXECUTIVE (CAE)

ROUNDTABLE CHAIR

[Shawn Stevison](#)

Humana, Inc.

GOVERNMENT RELATIONS CHAIR

[Open](#)

NEWSLETTER CHAIR

[Shenikia Thomas](#)

Republic Bank & Trust Company

SEMINAR CHAIR

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QUALITY ASSESSMENT REVIEW CHAIR

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SOCIAL ACTIVITIES CHAIR

[Andrea Higgins](#)

Robert Half Management Resources

WEBMASTER

[Sonja Heath](#)

Humana, Inc.

SOCIAL MEDIA

[Sean Byrnes](#)

Humana, Inc.

BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Roy Holden](#) (Thru 5/31/2026)

Kentucky Lottery

[Jennifer Fritz](#) (Thru 5/31/2027)

Churchill Downs

[John LeMaster](#) (Thru 5/31/2027)

JCPS

[Kayla Thomas](#) (Thru 5/31/2027)

Humana

[Grace Wilson](#) (Thru 5/31/2026)

Humana

PAST PRESIDENTS TERMS

[Shawn Stevison](#)

Humana, Inc. (Thru 5/31/2026)

[Lesley Clark](#)Federal Reserve Bank of St.Louis
(Thru 5/31/2027)