

Louisville IIA
Chapter News

Message From The President



Dear IIA Louisville Chapter Members,

As we welcome October, I want to take a moment to thank each of you — our dedicated members, past and present officers, and especially our volunteers. Your continued support and involvement are what make our chapter strong, vibrant, and impactful.

Over the past year, we've seen steady growth in participation and engagement. Our events have been well attended, and the feedback on our educational sessions has been very positive. We kicked off our new chapter year in September with an insightful session on *Combating External Fraud*, highlighting the importance of collaboration and innovation in our field.

Looking ahead, I'm excited about our October meeting, which will feature "*What is AI and How Should Companies Deploy It?*" presented by Michael R. Wieck, D.B.A. This topic is not only timely but also highly relevant to our evolving roles as internal auditors. Whether you're joining virtually or in person, I encourage you to take part and bring your questions.

I also want to encourage each of you to become more involved with the chapter. Consider mentoring a new auditor, serving on a committee, or inviting a colleague or student to one of our events. Every bit of engagement strengthens our professional community and builds the next generation of audit leaders.

We remain committed to providing high-quality CPE opportunities, meaningful networking, and connections with local institutions. These priorities will continue to guide our efforts in the months ahead.

Thank you again for your continued support. I look forward to seeing many of you at our October meeting and throughout the rest of the chapter year.

Warm regards,
Myhanh Pham, CPA, CIA
President, IIA Louisville Chapter

NEWSLETTER SUBMISSIONS

The newsletter will be published monthly from September 2025 through May 2026. If you have a request for a submission please email the information to stthomas@republicbank.com and your submission will be included in the next month's issue

October Monthly Meeting—Hybrid**Date: Tuesday, October 14, 2025**

Please register for this session by Noon on October 13th to secure your spot.

Meeting Time: 12pm—1pm (All IIA Louisville Chapter Events are held on Eastern Time.)**CPE Credits:** 1 hour

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|--------------|-----------------------|------|
| Cost: | IIA Member Virtual: | \$15 |
| | Non-Member Virtual: | \$20 |
| | IIA Member In-Person: | \$25 |
| | Non-Member In-Person: | \$30 |
| | Student In-Person: | Free |
| | Student Virtual: | Free |

Location: Virtual — Register below to receive call-in details

In-Person @ Dean Dorton (ShelbyHurst Campus)
435 N Whittington Pkwy Suite 400, Louisville, KY

VENUE UPDATE: Dean Dorton now has a visitor policy where all visitors must check-in and receive a visitor's badge upon arrival. All in-person attendees should arrive no later than 11:45am Eastern to allow enough time to complete the check-in process.

Registration: [Here](#)**Presentation Title:** What is AI and how should companies deploy it?

Presentation Summary: During this presentation, "What is AI and how should companies deploy it?" Michael will provide an overview of what machine learning is and what it isn't. He'll cover when machine learning should be used instead of another form of automation. He'll talk through a general model of how machine learning should get deployed and talk through two specific examples of how machine learning could get deployed (how to identify fraudulent journal entries and how to identify forged signatures).

Speaker Bio: Michael R. Wieck, D.B.A. is an assistant professor of accounting at Indiana University Southeast. He primarily teaches management accounting and accounting analytics. He previously worked in accounting information systems for a major health insurer. His research interests include solvency for capitated primary care practices and technology in accounting.

Lunch Option: Jason's Deli Boxed Lunch (Drinks will be provided)

IIA Academic Relations

Student Memberships

FREE IIA Student Memberships—Easier Enrollment

The IIA now offers an easier way for students to enroll in a Student Membership. If you have any interns or know of any students who would like to join the IIA, please contact Zack Estes at zestes@republicbank.com for assistance with enrollment.

Academic Relations Volunteer Opportunities

Occasionally, we may reach out directly to our members to ask for volunteers to participate in various student events. Please consider participating in student events for any of our local Universities. Please reach out to Zack Estes to discuss opportunities.

Internships

If your company has an open internship position, please share the following with your HR/ Recruiting departments. The Universities require that internships be posted to their respective Career Services sites to satisfy their Equal Opportunity requirements. Handshake and Cardinal Careers are the sites used by the schools. Here's the link for [Cardinal Careers Employer Registration](#), which is used by UofL's School of Business. Here's the link for [Handshake](#), which is used by both Bellarmine and IUS. Please forward any open internship postings to Zack Estes. We can help spread the word further about your internship by reaching out to faculty at the local universities.



LOUISVILLE IIA INSTAGRAM PAGE

Let's start sharing! We have an Instagram account to be used to post pictures, videos, announcements, etc. Also, we created it to help increase knowledge about what IA is with the goal of better engagement and increase membership to our chapter. Here is a link below: [IIA Louisville Chapter \(@iialouisville\) • Instagram photos and videos](#)

As you all have any information, photos, etc., please send to Sean Byrnes so they can post all the exciting things we are doing. Some ideas can be pictures of members and/or students receiving certification, students receiving scholarships, volunteer work we are doing, social events, etc.

Certification News

Certification Recognition

Joseph Gause obtained Certification in Risk Management Assurance

Annual Certification Renewal

The annual certification renewal period will begin soon. Don't forget to earn CPEs before the end of the year and renew your IIA certification(s)!

Annual Certification Renewal opens 1 October each year. Log in to the [Certification Candidate Management System \(CCMS\)](#) to begin your renewal.

The renewal deadline is 31 December each year. Should you fail to renew your Active certification, it will enter a Grace status, and as a result, you are no longer approved to represent yourself as a certified individual. Individuals remaining in Grace for 2 years will enter a Revoked status and must submit a recertification application and take the corresponding exam if they wish to become certified again.

As an IIA certified or qualified professional, you are responsible for ensuring that your certification is renewed annually. Get more information on [certification renewal steps](#) for Active and Grace status certification holders.

The IIA Charlotte Chapter's Certified Internal Auditor Review Sessions - Fall 2025! – Virtual Sessions

Registration for The IIA Charlotte Chapter's Certified Internal Auditor Review Sessions - Fall 2025 is now open. Click here to register: [Register](#)

CIA Review Sessions (8 CPE's per day of review): The course corresponds to the three parts of the Certified Internal Auditor examination administered by the IIA. Even if you are not registered for the exam you may find this a good overview of Internal Auditing, or a chance to learn what the exam is all about.

Course Overview: CIA Exam 2025 Content Specifications

The content specification under the old exam have been eliminated and change to cover the Internal Audit Plan as specified in the new Standards. The content and the percentage of questions are listed below. All the questions on the exam are multiple-choice.

Certification News Cont.

Part 1: Internal Audit Fundamentals: Friday, September 26, 2025

Part 2: Internal Audit Engagement: Friday October 24, 2025

Part 3: Internal Audit Plan: Friday November 21, 2025

Important Information on Part 3 - Part 3 of the new exam format has changed significantly. All of the accounting, IT topics, and management topics have been deleted. Therefore, if you have not taken Part 3 or have not passed Part 3 under the current format, you may want to wait and take Part 3 under the new exam which does not cover any of those topics. The new content for Part 3 is described above.

Cost: Member - \$180 / Non-members \$270

If you have any questions, please feel free to reply to this email or contact The IIA Charlotte Chapter at chapter91@iiachaptercommunications.org

New Members & Anniversaries

Member Anniversary

| | |
|-----------------|-----------------------------------|
| 5 Years | Grant Harper |
| 10 Years | David Googe Kevin Darron White |
| 15 Years | Cindy Wirth |

Career Opportunities

Contract Audit Role: Contact Andrea Higgins at andrea.higgins@roberthalf.com for more information about the role.

IIA Advocacy News

The IIA's Advocacy Team has monitored growing congressional interest in making potential changes to the landmark Sarbanes-Oxley (SOX) Act. Two recent developments, over the past six months, have placed this intent in sharper focus:

- ✦ A proposal, originally included in budget reconciliation legislation, to eliminate the Public Company Accounting Oversight Board and consolidate its functions into the Securities and Exchange Commission – the proposal ultimately failed to pass.
- ✦ The U.S. House Committee on Financial Services held a hearing on concerns about the costs of SOX compliance, signaling the start of a broader review of the law.

Because internal audit has a unique role in relation to SOX, The IIA wants to be prepared to provide specific recommendations to Congress, should lawmakers move forward on legislation at any point in the near future. Therefore, we recently established a SOX Working Group comprised of diverse representatives of the profession who review and discuss the law and will make recommendations to The IIA.

We also would like your help and input! If you have thoughts on SOX, please click [here](#) to share your views on how the role of internal audit could be strengthened and clarified in the law.

The comment period is open until **Friday, November 14th at 5:00 PM.**

We ask you please also share this request with your chapter members so their voices are included. Together, we can ensure that the value of internal audit is fully represented in any future potential reforms.

Should you have any questions regarding this request, please contact me directly at Michael.Downing@TheIIA.org.

CAE Roundtable

**"Calling all Auditors—
Audit Roundtables"**

The Louisville Chapter of the IIA will begin offering roundtable discussions for Staff level and for Senior level auditors to facilitate networking, open dialogue, and upon establishment of interests of the attendees, begin to include training topics in the roundtable meetings.

If you are interested, please contact Shawn Steverson at shawnsteverson@yahoo.com.

Volunteer Opportunities

The IIA Louisville Chapter is looking for volunteers for a few open committee positions. Positions currently open are:

- Advocacy Chair & Diversity Chair
- Government Relations Chair
- QAR Chair
- Seminar Chair

For more information please reach out to [Myhanh Pham](#), IIA Louisville Chapter President

Call for New Members

The IIA Louisville Chapter offers numerous benefits for members and we are always looking for new members to join our chapter. If you know someone who would like to join, please reach out to [Catie Knable](#) for more information. Some benefits of joining the IIA Louisville Chapter are as follows:

- Members-only rates on conferences, seminars, and executive development through Vision University can save you hundreds of dollars.
- Exclusive monthly Members-only Webinars are part of your core membership benefits and provide you with CPE units without ever leaving your desk or paying additional fees.
- Members-only rates for other specialty webinars and self-study courses provide easy access to advance your knowledge from the convenience of your own desktop.
- And much more!

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[Myhanh Pham](#)

Synchrony

VICE PRESIDENT

[Robert Stutsman](#)Credit First National Association
(CFNA)

TREASURER

[London Lopez](#)

Humana, Inc.

SECRETARY

[Zack Estes](#)

Republic Bank & Trust Company

PROGRAM CHAIR

[Lesley Clark](#)

Federal Reserve Bank of St.Louis

[Joseph Gause](#)

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[Catie Knable](#)

Caesars Entertainment

ACADEMIC RELATIONS

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BOARD OF GOVERNORS

ELECTED MEMBER TERMS

[Roy Holden](#) (Thru 5/31/2026)

Kentucky Lottery

[Jennifer Fritz](#) (Thru 5/31/2027)

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[John LeMaster](#) (Thru 5/31/2027)

JCPS

[Kayla Thomas](#) (Thru 5/31/2027)

Humana

[Grace Wilson](#) (Thru 5/31/2026)

Humana

PAST PRESIDENTS TERMS

[Shawn Stevison](#)

Humana, Inc. (Thru 5/31/2026)

[Lesley Clark](#)Federal Reserve Bank of St.Louis
(Thru 5/31/2027)