

IIA YEAR-END REPORT

Miami Chapter
June 2021 - May 2022



Out-Going President's Message

Dear IIA Miami Chapter member,

It is with a great combination of sadness and joy, that I transition out of the IIA Miami Chapter President role to be part of our Board of Governors. Needless to say, it has been an overwhelming number of experiences, emotions, lessons, surprises, and a long journey into creating the strong, long term professional relationships that have allowed me to be successful in all my roles as an audit professional.

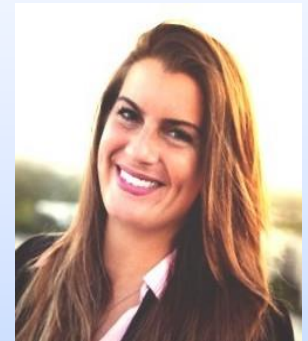
As I concluded our last June 2021-2022 IIA Miami Chapter Leadership call with all our Officers and Board of Governors, I shared the story of how I came to belong to this family of dedicated individuals, who devote their time to adding value to the professions of the IIA Miami Chapter members. A few weeks ago, Blanca Malagon, one of our most senior Board members, longtime Officer of the Academic Relations Committee and past President of the Miami Chapter; also a personal mentor to me, sent me a picture from 2012, holding a giant IIA Scholarship check for USD\$2,000. As a result of the award, I was invited to come to the Chapter's Holiday dinner where I shared the essay I wrote to win the scholarship. In that party I also had the pleasure to meet other long-time members of the IIA Miami Chapter, Clay Moegenburg, also a well renowned Board member and Past President and past VP of Programs of the Miami Chapter, who suggested that I get involved with the Chapter and potentially become a volunteer. Not too long after, I decided to volunteer for one of the on-site conferences. The experience was unreal. I had the pleasure of meeting many audit professionals, some officers of the IIA. One of those persons was my mentor and long-term friend Hadas Mizrahi, who is also a past-President and Board member of the Miami Chapter and also taught me the importance of strong relationships and trust. I also met Justin Gwin, also a past-President and Board member of the Miami Chapter, and current Caribbean District Representative, and continues to advise the Miami Chapter. One specific person that I met was Eric Rovt, VP of Programs of the Miami Chapter, who immediately met me and suggested I become his successor. I was speechless, but extremely excited to hear that someone truly believed that I could pull off a conference like this. I automatically said yes without hesitation, obviously as every second passed I started thinking about the risks, as a true auditor. What if I had so much on my plate that I had to jeopardize work? What if it's a large time commitment? Eric was ecstatic! I believe six months after I was elected to be VP of Programs for the Miami Chapter. After an amazing 2 years running the Programs Committee, I had the opportunity and encouragement from the team to become President, and I took it - I didn't not think twice!! I wanted to share this story mainly because during my tenure as President of the IIA Miami Chapter, I have tried my best to make sure that our dedicated volunteers not only provide to our valued members, but they also get the benefit that being a part of this family provides. And that will be different for everyone. I have tried my best to maintain our volunteers' and members' interests as a top priority, allowing us to maintain our outstanding membership numbers and our volunteers' wisdom.

If I may share my last wishes with our members and volunteers, I would like all our Accounting and Auditing students (our successors of the audit industry) to be aware of the importance of networking and belonging to our professional and personal careers. Follow my legacy and recognize the impact and value that making connections and building relationships has in our lives.

For the past two years, thank you for supporting me in leading this group of dedicated professional volunteers in continuing to provide value to our members.

Sincerely,

Janine Wolman



JANINE WOLMAN
CHAPTER PRESIDENT (2020-2022)

In-Coming President's Message

Dear IIA Miami Chapter members,

Welcome to the 2022 – 2023 Chapter year! I am extremely humbled and honored for the opportunity to lead our chapter. I understand it is a great responsibility to not only ensure you all are receiving valuable benefits from your involvement but to also continue promoting the beauty of internal audit as a profession. As you all know, investigating root causes comes second nature to us. Therefore, in my first month of service, I have prioritized understanding the root causes of our success as a chapter and where we can envision us going. Our Board is comprised of well experienced leaders and they have been so kind to share their wisdom with me on what has and has not historically worked, with the intent to provide you with meaningful learning and networking opportunities.

Our Chapter is unique as we are a large and diverse network; therefore, our needs can differ based on our background, position, industry, etc. My personal mission is to guide our Chapter to deliver up to date and emerging content relevant to various levels (starting with year 1 staff to CAEs) via conferences and roundtables, promote the CIA and CRMA designations, offer academic and certification scholarships, organize networking events, participate in university college fairs, and much more.

We are anticipating to planning in person events this year as we continue to maneuver and adapt to our new normal of hybrid work. We aim to keep your safety as a top priority and do not plan on sacrificing our quality in spite of our circumstance.

Our team of Officers is a group of passionate professionals who also desire and plan on contributing to this vision within their respective roles. I am grateful for their support and would love to highlight them as they are pillars of the Chapter. We are a community and our hope is to better serve you all in ways that matter to you.

With that said, I am an open book and consider myself a good listener so I welcome feedback. If you are interested in sharing your desires for our chapter, then please feel free to contact me at president@iiami.org. We, as your Officers and Board, will gladly consider your suggestions and determine if it would serve the Chapter as a whole.

A final thank you to our Board, Officers, and you all as we each aim to advance our field. Your dedication, contribution, and involvement with our Chapter does not go unnoticed.

Sincerely yours,

Ariel Arce



ARIEL ARCE
CHAPTER PRESIDENT
(2022-2023)

Table of Contents

Chapter Information	4
Chapter Achievement Program	5
Chapter Events	6
• <i>Fraud Conference</i>	
• <i>Soft Skills Conference</i>	
• <i>Membership Happy Hour</i>	
CIA Instruction Course	9
Leadership Conference	10
Scholarships / CAE Roundtable	11
Chapter Leadership	12
• <i>Officers</i>	
• <i>Board of Directors</i>	
Contact Info	15

Chapter Information

Membership Information

The IIA Miami Chapter was founded in 1945 and serves chapter members based in the Miami and Fort Lauderdale metropolitan area. As of May 31, 2022, the membership profile was comprised as follows:



Membership Survey Results

IIA Miami conducts an annual chapter member satisfaction survey to identify and assess performance as it relates to meeting member needs. Key findings from the 2021-2022 chapter year survey are noted below:

- Over 90% of respondents indicated that they are satisfied with the performance of the chapter, with the majority of respondents indicating that the chapter meets or exceeds needs in all categories.
- Auditing, Fraud, Cyber Security & IT Auditing, Ethics – Regulatory & Behavioral, and Data Analytics are the topics IIA members are most interested in.
- The biggest opportunity identified from survey results is to provide more networking opportunities. Around 21% of survey respondents indicating the chapter does not meet needs in this regard.
- Most respondents preferred receiving chapter updates via e-mail (85%), with LinkedIn (35%) being the second preferred method.



Chapter Achievement Program

The Miami Chapter earned **Gold** status this year!

Category	Achievement
Chapter Health	<ul style="list-style-type: none">• Completed all administrative requirements timely
HQ Partnership	<ul style="list-style-type: none">• Promoted IIA conferences and webinars
Academic Relations	<ul style="list-style-type: none">• Submitted the Academic Outreach Report
Programming	<ul style="list-style-type: none">• Offered two or more chapter programs
Certification	<ul style="list-style-type: none">• Achieved greater than 2% certification growth
Advocacy	<ul style="list-style-type: none">• Provided assistance to other chapters• Promoted IIA Quality Reviews• Completed outreach to government officials• Completed Building Awareness Champion Requirements
Membership	<ul style="list-style-type: none">• Achieved 90.5% member satisfaction rate from member survey



Chapter Events

Fraud Conference

IAA
The Institute of
Internal Auditors

30 YEARS
ACFE
Association of Certified Fraud Examiners
South Florida Chapter

CRACK THE CODE

Our annual Fraud virtual conference
is coming soon and you can't miss it!

January 27 & 28, 2022
12:00 pm - 5:00 pm

RESERVE YOUR SPOT

Don't miss out on this year's Fraud Conference,
we've got top industry speakers ready to share their
knowledge, experience and latest news.

**DON'T WAIT UNTIL
THE LAST MINUTE
TO REGISTER:**

In January 2022, IIA Miami partnered with the Association of Certified Fraud Examiners (ACFE) South Florida Chapter to deliver an Annual Fraud Conference. Around 400 participants attended the event, with around 200 representing IIA members. Participants were awarded 8 CPE credits. The event featured the following speakers and topics:

Nathan Mueller, Former Fraudster - *Lessons from an \$8.5 Million Fraud*

Jariel Rendell of The U.S. Department of Justice - Anti-Trust Division - *Procurement Collusion: Identifying, Investigating and Stopping Antitrust Crimes in Procurement and Beyond*

John Konopka, Chief of Investigations, FL Attorney General Consumer Protection - *Overview of the Florida Office of the Attorney General Consumer Protection Division, composition, mission, and enforcement authority*

Mona Clayton, PwC Forensics Partner, Retired - Latin America - *From Challenges to Opportunities*

Yoshua Garfinkel, Sgt. Criminal Investigation Division Economic Crimes Unit, Hialeah Police - *Emerging Trends in the World of Fraud*

William Callahan, Director of Government & Strategic Affairs at Blockchain Intelligence - *Introduction to Cryptocurrency and Blockchain for Investigators.*

Chapter Events

Soft Skills Conference



POWER SKILLS, POWERFUL RESULTS

VIRTUAL EVENT
SEPTEMBER 29, 2021

KEYNOTE SPEAKERS:

BRUCE TULGAN

JASON R. MURPHY



In September 2021, IIA Miami delivered a soft-skills themed conference entitled “Power Skills, Powerful Results”. The virtual event drew just under 100 participants and participants were awarded 5 hours of CPE Credit. The topics covered and speakers included the following:

Bruce Tulgan - *Managing Management - Risks, Reporting, and More*

Jo-Aynne von Born - *Cultivating Cohesive Teams*

Panel Discussion with IIA Miami Audit Executives, Managers, and Staff - *A Discussion on Work Place Dynamics in 2021*

Jason R. Murphy - *Crossing the line - Giving Your All At Work*



Chapter Events

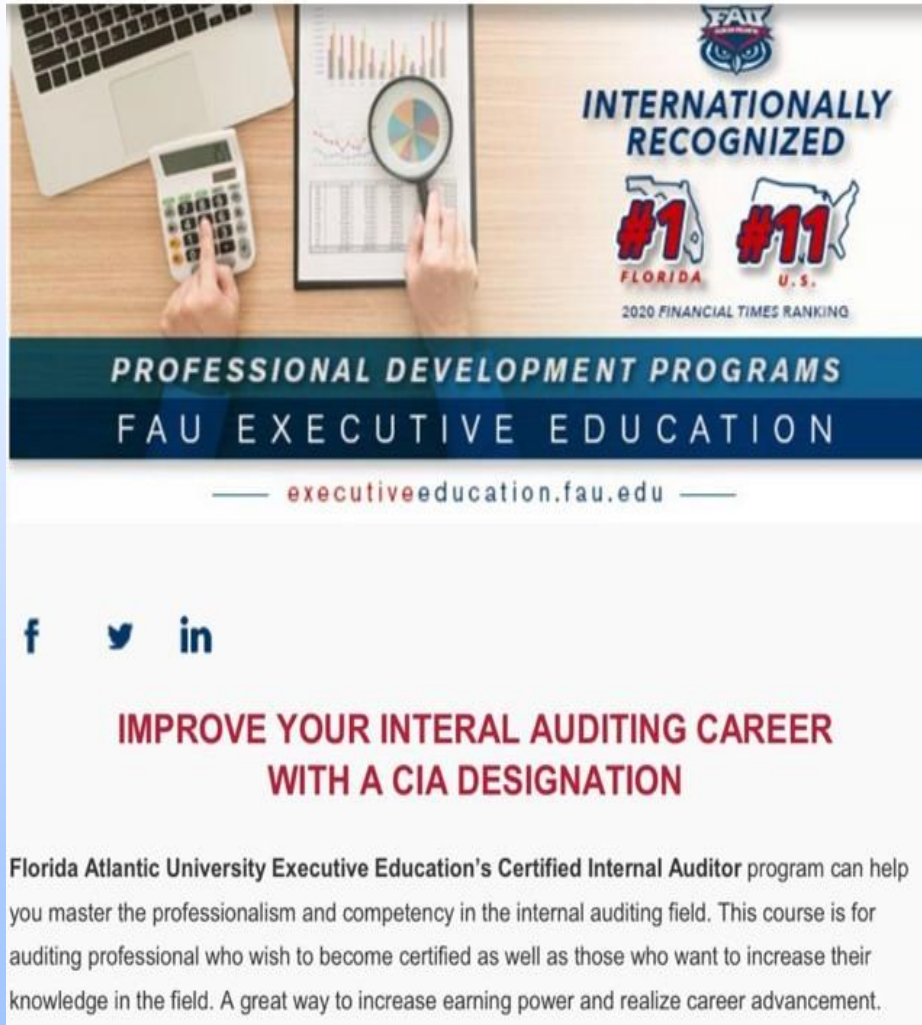
Membership Happy Hour

In May 2022, the chapter sponsored a membership happy hour event at American Social Bar & Kitchen in Fort Lauderdale. During the event, members were treated to cocktails and appetizers while having the opportunity to network and connect with each other.



Certified Internal Auditor

CIA Course Partnership with FAU



The advertisement features a top section with a photograph of a desk with a laptop, a calculator, and a hand holding a magnifying glass over a document with a pie chart. To the right is the FAU logo and the text "INTERNATIONALLY RECOGNIZED" above "#1 FLORIDA" and "#11 U.S." with "2020 FINANCIAL TIMES RANKING" below. A dark blue banner contains the text "PROFESSIONAL DEVELOPMENT PROGRAMS" and "FAU EXECUTIVE EDUCATION". Below this is the website "executiveeducation.fau.edu". Social media icons for Facebook, Twitter, and LinkedIn are present. The main headline reads "IMPROVE YOUR INTERNAL AUDITING CAREER WITH A CIA DESIGNATION". The bottom paragraph states: "Florida Atlantic University Executive Education's Certified Internal Auditor program can help you master the professionalism and competency in the internal auditing field. This course is for auditing professional who wish to become certified as well as those who want to increase their knowledge in the field. A great way to increase earning power and realize career advancement."

IIA Miami Chapter partners with Florida Atlantic University (FAU) to deliver an instructor-led program which prepares students to pass the CIA exam, leveraging the IIA's CIA Learning System. Courses are taught on Saturdays and students can attend either live virtually or on-campus at the Boca Raton campus.

During the 2021-2022 Chapter Year, two course programs were delivered to students by instructors Clay Moegenburg, IIA Miami Board Member, and Keith Duff, IIA Miami VP Programs.

FAU Executive Education Adjunct Faculty Professors



Clay Moegenburg



Keith Duff

Leadership Conference

North America Leadership Conference

In April 2022, IIA Miami Chapter Leaders attended the 2022 IIA North America Leadership Conference at Disney Yacht Club in Orlando. During the conference, chapter leaders heard about the IIA Strategy and had the opportunity to network and share best practices with other chapter leaders in North America. The event was attended by Janine Wolman (Board Member), Ariel Arce (President), Keith Duff (VP Programs), Sarah Antonelli (VP Membership), and Jeff Barnes (VP Certifications).



Scholarships / CAE Roundtable

Scholarship Information

IIA Miami Chapter routinely sponsors scholarships for students who are interested in a career in internal audit or in contributing to the future of the profession. During the 2021 – 2022 year, the chapter awarded \$9,000 in scholarships to the students below:

- Evan Simmens (University of Miami)
- Jose Vazquez (University of Miami)
- Latoya Compass (Nova Southeastern University)
- Yuchen Huang (University of Miami)
- Meghan Rodriguez (Miami Dade College)
- Carlos Silva (Miami Dade College)

Each of the award recipients was selected by a review committee after reviewing a resume and an essay describing their plans to contribute to the Internal Audit profession over the next 10 years.



CAE Roundtable

The chapter regularly hosts roundtable events with local Chief Audit Executives to discuss current hot topics and how they are impacting the business. During the 2021-2022 year, the chapter hosted one CAE roundtable event with participants working in the banking industry.



Chapter Leadership

Officers



Ariel Arce
President
Years in IIA: 4 Years
Employer: Protiviti

Previous Roles
VP of Certifications, President



Linnette Nunez
Secretary
Years in IIA: 1
Employer: Protiviti

Previous Roles
N/A

Je Lee
Assistant Treasurer
Years in IIA: 1
Employer: Convey Health Solutions

Previous Roles
N/A



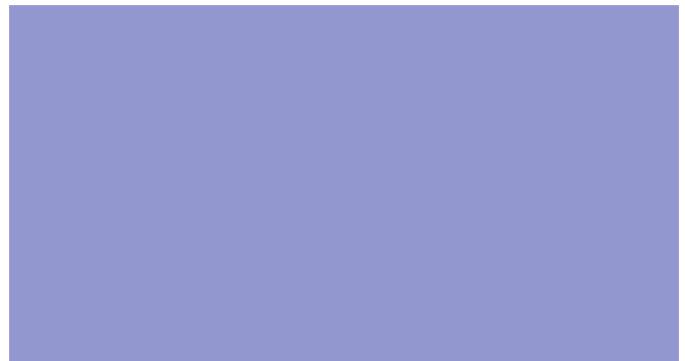
Vera Valeria
Treasurer
Years in IIA: 16 Years
Employer: Life Extension – Supreme Management, Inc.

Previous Roles
Treasurer and Assistant Treasurer



Nicolas Falquez
VP of Academic Relations
Years in IIA: 6 Years
Employer: RSM US LLP

Previous Roles
VP of Certifications, and VP of Academic Relations



Chapter Leadership

Officers



Jeff Barnes
VP of Certifications
Years in IIA: 2
Employer: CDW

Previous Roles
VP Membership



Latrish Martin
VP of Communications
Years in IIA: 8
Employer: Ernst & Young

Previous Roles
Committee Member (Communications Committee)

Sarah Antonelli
VP of Memberships
Years in IIA: 6
Employer: Mednax

Previous Roles
Secretary and Committee Member



Keith Duff
VP Programs
Years in IIA: 16
Employer: Starboard Cruise Services

Previous Roles
VP Communications



Vamsi Bhaskar
Technology Chair
Years in IIA: 2
Employer: Publix Super Markets

Previous Roles
VP Technology



Adrian Romero
VP of Specialty Centers
Years in IIA: 7
Employer: RSM US LLP

Previous Roles
IIA Member

Chapter Leadership (cont.)

Board of Directors

Janine Wolman

Board Member

Years in IIA: 8

Employer: Convey Health Solutions

Previous Roles

President, VP Programs



Blanca Malagon

Board Member

Years in IIA: 34

Employer: University of Miami

Previous Roles

VP of Academic Relations, Board of Governors



Clay Moegenburg

Board Member

Years in IIA: 17 Years

Employer: Synectus Advisory Group LLC

Previous Roles

President, VP of Programs, IIA-North American Advocacy Committee, Board of Governors



Hadas Mizrahi

Board Member

Years in IIA: 15 Years

Employer: RSM US LLP

Previous Roles

President, VP of Membership, Board Member



Scott Norton

Board Member

Employer: Bayview Asset Management

Previous Roles

President



Steve Roth

Board Member

Years in IIA: 17

Employer: NCLH

Previous Roles

President

Thank you!

Thank you to all of our Officers, Board Members, and Volunteers for making 2021-2022 another successful year for the Miami Chapter!

We love to hear your feedback! Please reach out via email or on social media.

 [Email](#)  [LinkedIn](#)